

MAI STP PCT

Docket No. 264837US0X PCT  
 IN RE APPLICATION OF: Kenichi KAKUMARU, et al.  
 SERIAL NO: 10/521,800  
 FILED: January 21, 2005  
 FOR: PROCESS FOR PRODUCING 5-(2'-PYRIDYL)-2-PYRIDONE DERIVATIVE



COMMISSIONER FOR PATENTS  
 ALEXANDRIA, VIRGINIA 22313

SIR:

Transmitted herewith is an amendment in the above-identified application.

- ☒ No additional fee is required
- ☐ Small entity status of this application under 37 C.F.R. §1.9 and §1.27 is claimed.
- ☒ Additional documents filed herewith: Preliminary Amendment/Information Disclosure Statement/Form PTO-1449/International Search Report/Statement of Relevancy/Cited References (8)

The Fee has been calculated as shown below:

CLAIMS	CLAIMS REMAINING		HIGHEST NUMBER PREVIOUSLY PAID	NO. EXTRA CLAIMS	RATE	CALCULATIONS
TOTAL	4	MINUS	20	0	x \$50 =	\$0.00
INDEPENDENT	1	MINUS	3	0	x \$200 =	\$0.00
		<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+ \$360 =	\$0.00
		TOTAL OF ABOVE CALCULATIONS				\$0.00
		<input type="checkbox"/> Reduction by 50% for filing by Small Entity				\$0.00
		<input type="checkbox"/> Recordation of Assignment			+ \$40 =	\$0.00
		TOTAL				\$0.00

- ☐ A check in the amount of \$0.00 is attached.
- ☐ Credit card payment form is attached to cover the fees in the amount of \$0.00
- ☒ Please charge any additional Fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.
- ☒ If these papers are not considered timely filed by the Patent and Trademark Office, then a petition is hereby made under 37 C.F.R. §1.136, and any additional fees required under 37 C.F.R. §1.136 for any necessary extension of time may be charged to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

OBLON, SPIVAK, McCLELLAND,  
 MAIER & NEUSTADT, P.C.

*Norman F. Oblon*

Norman F. Oblon  
 Registration No. 24,618

Customer Number

22850

Tel. (703) 413-3000  
 Fax. (703) 413-2220  
 (OSMMN 05/03)

Surinder Sachar  
 Registration No. 34,423

DOCKET NO: 264837US0X PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
KENICHI KOYAKUMARU, ET AL. : ATTN: APPLICATION DIVISION  
SERIAL NO: 10/521,800 :  
FILED: JANUARY 21, 2005 :  
FOR: PROCESS FOR PRODUCING 5-(2'- :  
PYRIDYL)-2-PYRIDONE :  
DERIVATIVE :

PRELIMINARY AMENDMENT

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Prior to examination on the merits, please amend the above-identified application as follows:

**Amendments to the Specification** begin on page 2 of this paper.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 8 of this paper.

**Remarks** begin on page 11 of this paper.